

Tony Kershaw
Director of Law and Assurance

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6 July 2023

Regulation, Audit and Accounts Committee

A meeting of the Committee will be held at **11.00 am** on **Friday, 14 July 2023** at **County Hall North, Horsham, RH12 1XA.**

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Agenda

1. **Declarations of Interest**

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such as an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

2. **Minutes of the last meeting of the Committee** (Pages 5 - 12)

The Committee is asked to agree the minutes of the meeting held on 20 March 2023 (cream paper).

3. **Urgent Matters**

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

4. **2022/23 External Audit Progress - Verbal Update**

The Committee to receive a verbal update from the External Auditor Ernst & Young (EY).

5. **Internal Audit Annual Report & Opinion 2022/23** (Pages 13 - 42)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to consider the recommendations in the report.

6. **Internal Audit & Fraud Plan (Q2)** (Pages 43 - 58)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to consider the recommendations in the report.

7. **Quarterly Review of Corporate Risk Management** (Pages 59 - 102)

Report by the Director of Finance and Support Services.

The Committee is asked to review the information detailed in the report and provide comment as necessary.

8. **Annual Governance Statement 2022/23** (Pages 103 - 152)

Report by the Director of Law and Assurance.

The Committee is asked to note the draft Statement for 2022/23, subject to any comments.

9. **Anti-Fraud and Corruption Strategy & Anti-Money Laundering Policy**
(Pages 153 - 180)

Report by the Director of Finance and Support Services.

The Committee is asked to approve the Anti-Fraud and Corruption Strategy and the Anti-Money Laundering Policy. The Committee is also asked to note that the Anti-Fraud and Corruption Strategy and the Anti-Money Laundering Policy will be reviewed in 2026.

10. **Treasury Management Compliance Report - First Quarter 2023/24**
(Pages 181 - 188)

Report by the Director of Finance and Support Services.

The Committee is asked to note the report.

11. **Proposed Changes to the Committee's Terms of Reference** (Pages 189 - 194)

Report by the Director of Law and Assurance.

The Committee is asked to approve the proposed changes to the Committee's Terms of Reference and agree to refer them to the Governance Committee for consideration and onward for approval by the County Council.

12. **Date of Next Meeting**

The next meeting of the Committee will be held at 10.30 am on 21 September 2023 at County Hall, Chichester. Planned agenda items include:

- Financial Regulations
- Electronic Signatures

To all members of the Regulation, Audit and Accounts Committee